

1 **DEPARTMENT OF CONSUMER AND BUSINESS SERVICES**  
2 **DIVISION OF FINANCE AND CORPORATE SECURITIES**  
3 **ENFORCEMENT SECTION**  
4 **BEFORE THE DIRECTOR OF THE DEPARTMENT OF CONSUMER AND**  
5 **BUSINESS SERVICES**

4 **In the Matter of:** ) **No. A-05-0017(B)**  
5 )  
6 **BROOKSTREET SECURITIES** ) **FINAL ORDER TO CEASE AND**  
7 **CORPORATION, INC. and RICARDO** ) **DESIST, DENYING EXEMPTIONS,**  
8 **CABEZAS,** ) **AND ASSESSING CIVIL PENALTIES**  
9 ) **ENTERED BY DEFAULT AGAINST**  
10 ) **RICARDO CABEZAS ONLY**  
11 **Respondents.** )  
12 )  
13 )  
14 )  
15 )  
16 )

11 **WHEREAS**, the Director of the Department of Consumer and Business Services for the  
12 State of Oregon (hereafter the "Director"), acting pursuant to the authority granted by the Oregon  
13 Securities Law (ORS 59.005 *et seq.*), has conducted an investigation into the activities of  
14 **BROOKSTREET SECURITIES CORPORATION, INC.** (hereafter "**BROOKSTREET**  
15 **SECURITIES**") and **RICARDO CABEZAS** (hereafter "**CABEZAS**");

16 **WHEREAS**, on November 3, 2005 Respondent **CABEZAS** was duly served with a true  
17 copy of the Order and Notice by United States Mail, first class postage prepaid, addressed to  
18 Respondent **CABEZAS** at the following last known address: 3013 Winter Street, Los Angeles,  
19 California 90063. This mailing was not returned.

20 **WHEREAS**, on November 3, 2005 Respondent **CABEZAS** was duly served with a true  
21 copy of the Order and Notice by certified United States Mail (Item #7004 1350 0000 5011  
22 8117), postage prepaid, and addressed to Respondent **CABEZAS** at the following last known  
23 address: 3013 Winter Street, Los Angeles, California 90063. This mailing was returned to  
24 sender, marked "Unclaimed."

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